



08-16-04

2683  
Jhw

Attorney Docket No. 10194.8083.US00

Express Mail No. EV522679645US

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: STEVE CLAYTON

APPLICATION No.: 10/690,468

FILED: OCTOBER 20, 2003

FOR: PREVENTING UNAUTHORIZED  
SWITCHING OF MOBILE  
TELECOMMUNICATIONS SERVICE  
PROVIDERS

EXAMINER: WILLIAM GEORGE TROST IV

CONFIRMATION No. 4838

ART UNIT: 2683

Information Disclosure Statement Within Three Months of  
Application Filing or Before First Action – 37 CFR 1.97(b)

MS Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

1. Timing of Submission

This information disclosure is being filed within three months of the filing date of this application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever occurs last [37 CFR 1.97(b)]. The references listed on the enclosed Form PTO-1449 (modified) may be material to the examination of this application; the Examiner is requested to make them of record in the application.

2. Cited Information☒ Copies of the following references are enclosed:

- ☒ All cited references
- ☐ References marked by asterisks
- ☐ The following:

☐ Copies of the following references can be found in parent application Ser. No.:

- ☐ All cited references
- ☐ References marked by asterisks
- ☐ The following:

☐ The following references are not in English. For each such reference, the undersigned has enclosed (i) a translation of the reference; (ii) a copy of a communication from a foreign patent office or International Searching Authority citing the reference, (iii) a copy of a reference which appears to be

an English-language counterpart, or (iv) an English-language abstract for the reference prepared by a third party. Applicant has not verified that the translation, English-language counterpart or third-party abstract is an accurate representation of the teachings of the non-English reference, though, and reserves the right to demonstrate otherwise.

- ☐ All cited references
- ☐ References marked by ampersands
- ☐ The following:

3. Effect of Information Disclosure Statement (37 CFR 1.97(h))

This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the cited information is, or is considered to be, material to patentability. In addition, applicant does not admit that any enclosed item of information constitutes prior art to the subject invention and specifically reserves the right to demonstrate that any such reference is not prior art.

4. Fee Payment

No fees are believed due. However, should the Commissioner determine that fees are due in order for this Information Disclosure Statement to be considered, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 50-0665.

5. Patent Term Adjustment (37 CFR 1.704(d))

- ☐ The undersigned states that each item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this statement. 37 C.F.R. § 1.704(d).

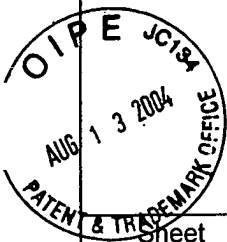
Respectfully submitted,  
Perkins Coie LLP

Date: 8/13/04

  
Michelle C. Sarruf  
Registration No. 55,828

Correspondence Address:

Customer No. 30083  
Perkins Coie LLP/AWS  
P.O. Box 1247  
Seattle, Washington 98111-1247  
Phone: (206) 583-8888


**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

 Form PTO-1449 (Modified)  
 (Use several sheets if necessary)

**COMPLETE IF KNOWN**

Application Number	10/690,468
Confirmation Number	4838
Filing Date	October 20, 2003
First Named Inventor	Steve Clayton
Group Art Unit	2683
Examiner Name	William George Trost IV
Attorney Docket No.	10194.8083.US00

Sheet 1 of 1

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No.	U.S. Patent or Application		Name of Patentee or Inventor of Cited Document	Date of Publication or Filing Date of Cited Document	Pages, Columns, Lines, Where Relevant Figures Appear
		NUMBER	Kind Code (if known)			

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Cite No.	Foreign Patent or Application			Name of Patentee or Applicant of Cited Document	Date of Publication or Filing Date of Cited Document	Pages, Columns, Lines, Where Relevant Figures Appear	T
		Office	NUMBER	Kind Code (if known)				

**OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s), publisher, city and/or country where published.	T
	1	CHINESE INFORMATION AND NETWORKING ASSOCIATION (CINA), U.S. Local Number Portability (LNP): Overview, <a href="http://www.cina.org/nii9904_inp_us/sld001.htm">http://www.cina.org/nii9904_inp_us/sld001.htm</a> , presented 04/21/99, pages 1-15.	
	2	FEDERAL COMMUNICATIONS COMMISSION, First Memorandum Opinion and Order on reconsideration, in the matter of Telephone Number Portability, CC Docket No. 95-116, released March 11, 1997	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application(s).